

**AGENDA**

**1. Apologies**

**2. Minutes of the last meeting (11 June 2014)**

**3. Issues for Discussion:**

- Meeting with ESMA (11 August 2014)
- FCA Consultation DP14/3 The use of the dealing commission regime (RD: 10 October 2014)  
<http://www.fca.org.uk/news/dp14-03-the-use-of-dealing-commission-regime>

**4. Communications and Future Meetings:**

- Final text of the Central Securities Depositories Regulation (CSDR) – for information only
- Other issues for the group to consider
- Policy Update (August 2014) – for information only
- Guest invitations to future meetings

**5. AOB**

**Information for noting**

**1. Consultation Update – Responses submitted:**

- ESMA – Consultation Paper on MiFID/MiFIR Technical Advice (RD: 1 August 2014)
- ESMA – Discussion Paper on MiFID/MiFIR draft RTS/ITS (RD: 1 August 2014)

**2. Next meeting(s)**

17.00 Wednesday 19 November 2014 (Venue: Speechly Bircham LLP, 6 New Street Square,  
London EC4A 3LX)