

AGENDA

1. Apologies

2. Minutes of the last meeting (11 February 2015)

3. Issues for Discussion:

- European Commission: Consultation on the Capital Markets Union (RD: 13 May 2015)
http://ec.europa.eu/finance/consultations/2015/capital-markets-union/index_en.htm
- FCA: Developing our approach to implementing MiFID II conduct of business and organisational requirements (RD: 26 May 2015)
<https://www.fca.org.uk/static/documents/discussion-papers/dp15-03.pdf>
- HM Treasury: Transposition of the Markets in Financial Instruments Directive II (RD: 18 June 2015)
<https://www.gov.uk/government/consultations/transposition-of-the-markets-in-financial-instruments-directive-ii>
- ESMA Questionnaire on the cost benefit analysis for its proposals under MiFID II/MiFIR (RTS 6 and RTS 7) (RD: 4 May 2015)

4. Communications and Future Meetings:

- Report of meeting with European Commission (07.04.2015)
 - QCA Briefing Note on Deferred Publication Regime (DPR) and MiFID II
 - QCA Briefing Note on ESMA's Technical Advice on the legitimacy of inducements in MiFID II
- FCA Feedback Statement to DP14/3 on the use of dealing commission regime and market for research
- ESMA centralised data projects relating to MiFIR, MAR and EMIR
- FCA Market Abuse Regulation Briefing
- Policy Update (16 February 2015) – for information only
- Guest invitations to future meetings

5. AOB

Information for noting

1. Consultation Update – Responses submitted:

- ESMA Consultation on Technical Standards under the CSD Regulation (19 February 2015)
- ESMA Consultation on Technical Advice under the CSD Regulation (19 February 2015)
- ESMA Consultation on MiFID II/MiFIR (2 March 2015)

2. Next meeting(s)

17.00 Wednesday 17 June 2015 (Venue: Charles Russell Speechlys LLP, 6 New Street Square, EC4A 3LX)