

AGENDA

- 1. Welcome to Mark Griffin, Head of Secondary Markets and Market Infrastructure, HM Treasury to discuss MiFID II**
- 2. Apologies**
- 3. Minutes of the last meeting (22 April 2015)**
- 4. Issues for Discussion:**
 - Capital Markets Union
 - Report of European Commission Conference (8 June 2015): Next Steps to discuss the Capital Markets Union
 - QCA response to Capital Markets Union Green Paper
 - ESMA: Consultation Paper - Draft guidelines for the assessment of knowledge and competence http://www.esma.europa.eu/system/files/2015-753_cp_mifid_guidelines_on_knowledge_and_competence.pdf - RD: 10 July 2015
 - Public consultation on EMIR http://ec.europa.eu/finance/consultations/2015/emir-revision/docs/consultation-document_en.pdf - RD: 13 August 2015
- 5. Communications and Future Meetings:**
 - Report of meeting with Kay Swinburne MEP (1.05.2015)
 - QCA Briefing Note on Deferred Publication Regime (DPR) and MiFID II
 - QCA Briefing Note on ESMA's Technical Advice on the legitimacy of inducements in MiFID II
 - QCA Briefing Note on SME Growth Markets
 - Market Abuse Regulation – status update
 - Policy Update (6 May 2015) – for information only
 - Guest invitations to future meetings
- 6. AOB**

Information for noting

1. Consultation Update – Responses submitted:
 - European Commission: Consultation on the Capital Markets Union (13 May 2015)
2. Next meeting(s)

16:30 Wednesday 16 September 2015 (Venue: Charles Russell Speechlys LLP, 6 New Street Square, EC4A 3LX)