

**AGENDA**

- 1. Welcome to Matt Leighton, Senior Regulatory Analyst - Regulatory Strategy, London Stock Exchange, to discuss MiFID**
- 2. Apologies**
- 3. Minutes of the last meeting (16 April 2014)**
- 4. Issues for Discussion:**
  - Consultation Paper on MiFID/MiFIR Technical Advice ([http://www.esma.europa.eu/system/files/2014-549\\_-\\_consultation\\_paper\\_mifid\\_ii\\_-\\_mifir.pdf](http://www.esma.europa.eu/system/files/2014-549_-_consultation_paper_mifid_ii_-_mifir.pdf)) (RD: 1 August 2014)
  - Discussion Paper on MiFID/MiFIR draft RTS/ITS ([http://www.esma.europa.eu/system/files/2014-548\\_discussion\\_paper\\_mifid-mifir.pdf](http://www.esma.europa.eu/system/files/2014-548_discussion_paper_mifid-mifir.pdf)) (RD: 1 August 2014)
- 5. Communications and Future Meetings:**
  - Other issues for the group to consider
  - ESMA public hearings on secondary markets, investor protection and commodity derivatives issues on Monday 7 and Tuesday 8 July – for information
  - ECB T2S Harmonisation Steering Group best practices proposals for T2S markets migrating to the T+2 settlement cycle – for information
  - Top 20 questions directors should ask when considering raising alternative finance – status update
  - Policy Update (April 2014) – for information only
  - Guest invitations to future meetings
- 6. AOB**

**Information for noting**

1. Consultation Update – Responses submitted:
    - London Stock Exchange – Consultation on the market structure for the introduction of an intra-day auction for SETS Equities and IOB (RD: 17 April 2014)
    - ESMA – Discussion Paper Draft Technical Standards for the Regulation on improving securities settlement in the European Union and on central securities depositories (CSD) (RD: 22 May 2014)
- Not responded to:
- ESMA – Consultation Paper on Draft Regulatory Technical Standards on major shareholdings and indicative list of financial instruments subject to notification requirements under the revised Transparency Directive
2. Next meeting(s)

17.00 Tuesday 30 September 2014 (Venue: Speechly Bircham LLP, 6 New Street Sq., London EC4A 3LX)