

To be held on: Thursday 28 March 2013 at 8.45am
Venue: Speechly Bircham LLP, 6 New Street Square, London EC4A 3LX
(with Paul Haddock, ISDX, in attendance)

AGENDA

1. Welcome to Paul Haddock, ICAP Securities and Derivatives Exchange (ISDX), to speak about their Rulebook Changes Consultation and an update on the work of ISDX
2. Apologies
3. Meetings:
 - a) Approve the minutes from 28 March 2013 meeting
4. Current consultations and issues:
 - a) Terms of Reference
 - b) FSA Primary Market Bulletin (Response Date: 8 April 2013)
<http://www.fsa.gov.uk/static/pubs/ukla/ukla-bulletin-no5.pdf>
<http://uk.practicallaw.com/9-524-3980?q=FSA+Primary+Market+Bulletin+5>
 - c) ISA qualifying investments: consultation on including shares traded on small and medium-sized enterprise equity markets (Response Date: 8 May 2013)
http://www.hm-treasury.gov.uk/consult_isa_qualifying_investments.htm
 - d) ESMA Paper: Draft Regulatory Technical Standards on specific situations that require the publication of a supplement to the prospectus (Response Date: 12 June 2013)
<http://www.esma.europa.eu/system/files/2013-316.pdf>
<http://corporate.practicallaw.com/5-525-2486>
 - e) Economic and Monetary Affairs Committee – European Parliament - Public consultation on the coherence of EU financial services legislation (Response Date: 14 June 2013)
<http://www.europarl.europa.eu/committees/en/econ/subject-files.html?id=20130314CDT63219#menuzone>
<http://corporate.practicallaw.com/9-525-2272>
 - f) Budget 2013 – Removal of stamp duty on growth market shares
5. Communications:
 - a) Guest invitations
6. Review of actions from the last meeting (to the extent not already covered)
7. Any Other Business

Information for noting

1. Consultation Update – Responses submitted:
 - LSE High Growth Segment – Draft Rulebook (Response Date: 8 March 2013)
2. Next meeting(s)
8.45am Thursday 25 April 2013 (Venue: Speechly Bircham LLP)